

10/521616

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN THE APPLICATION OF: Joji Hatanaka  
FOR: SHELVING SYSTEM  
SERIAL NO.: Unknown; Filed herewith  
FILED: January 14, 2005  
EXAMINER: Unknown  
ART UNIT: Unknown  
CONFIRMATION NO.: Unknown  
ATTORNEY DOCKET NO.: MM9021PCT(US)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached form PTO-1449. The required copy of each document is enclosed *except for* documents previously cited by or submitted to the Office in a prior application(s) relied upon for an earlier filing date under 35 U.S.C. 120, said prior application(s) identified below:

**Serial No.**

**Filing Date**

2. Pursuant to 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

3. Pursuant to 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

4. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(X) Copy of each English language version of a search report by a foreign patent office in a counterpart foreign application, indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."

☒ English-language abstract.

☒ 5. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:

☒ concurrently with the filing of the national application; or

☐ within 3 months of the filing date of a national application or

☐ within 3 months of the date of the entry of the national stage as set forth in 37 CFR 1.491 in an international application; or

☐ before the mailing date of a first Office Action on the merits.

{NOTE: Statements filed within the foregoing periods require neither a fee nor a Certification}

☐ 6. Pursuant to 37 C.F.R. 1.97(c) this Statement is being filed *after* the mailing date of a first Office Action on the merits but *before* the mailing date of either: a Final Office Action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first. Accordingly, this Statement is accompanied by:

☐ Certification as specified in 37 C.F.R. 1.97(e); OR

☐ The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

☐ 7. Pursuant to 37 C.F.R. 1.97(d) this Statement is being filed *after* the period specified in 37 C.F.R. 1.97(c), but *before or concurrent with* payment of the Issue Fee. Accordingly, this Statement is accompanied by:

A. Certification as specified in 37 C.F.R. 1.97(e); AND

B. The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

☐ 8. Statement filed *after* payment of Issue Fee, but *before* patent grant:

This Statement is being filed *after* payment of the Issue Fee, but *before* grant of the patent; accordingly it is understood that this Statement will *not* be considered. However, it is respectfully requested that this Information Disclosure Statement be placed in the application file. {NOTE: no fees or certification required}

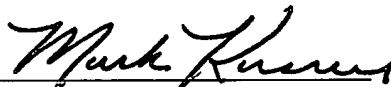
() 9. CERTIFICATION under 37 C.F.R. 1.97 (e) (if applicable):

- () The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Information Disclosure Statement, OR
- () The undersigned hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

The Commissioner is hereby authorized to charge any additional fees required for consideration of this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0537, identifying our Docket No. MM9021PCT(US).

Respectfully submitted,

Date: January 14, 2005

  
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service as "Express Mail Post Office to Addressee," Mailing Label No. ED 234602084 US, with sufficient postage in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 14, 2005

  
Christine Goellner

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Form PTO-1449

U.S. Patent Application No.: Unknown; Filed herewith

Attorney Docket No.: MM9021PCT(US)

IDS Mailed (w/ new application): January 14, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****INFORMATION DISCLOSURE CITATION**

In re application of: Joji Hatanaka

Serial No: Unknown (Filed herewith)      Group No.: Unknown      Confirmation No.: Unknown

Filed: January 14, 2005 (Filed herewith)      Examiner: Unknown

For: SHELVING SYSTEM

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Commissioner for Patents

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**Foreign Patent Documents**

Examiner Initials	Document Number	Country	Date of Publication	Class	Translation (Yes/No)
_____	2002087518	Japan	27.03.2002	B65G	Yes (Abstract)
_____	JP-S60-52492	Japan	25.03.1985	---	Yes (Claim)
_____	59200313	Japan	13.11.1984	G05D	Yes (Abstract)
_____	JP-H6-43706	Japan	10.06.1994	---	Yes (Abstract)
_____	2001048314	Japan	20.02.2001	B65G	Yes (Abstract)
_____	2000142922	Japan	23.05.2000	B65G	Yes (Abstract)
_____	JP-S51-9957	Japan	1976	---	Yes (Claim)
_____	2003012120	Japan	15.01.2003	B65G	Yes (Abstract)
_____	Form PCT/ISA/210 (Int'l Search Report)		18.11.2003	---	Yes

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

**\*\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.